COURSE OUTLINE

1. Course: PHYS 507, Solid State Physics - Fall 2020
   Lecture 01: MWF 11:00 - 11:50 - Online

   Instructor          Email                        Phone        Office     Hours
   Dr Paul Barclay    pbarclay@ucalgary.ca        403 220-8517  SB 319     Wednesday 1:30 - 2:30 or by appointment

Online Delivery Details:

Some aspects of this course are being offered in real-time via scheduled meeting times. For those aspects you are required to be online at the same time.

Format of remote lectures: Unless posted otherwise, lectures will be recorded “live” using Zoom during the scheduled class time and reposted on D2L. However, I will likely also pre-record many of the lectures - the frequency with which I do this will largely depend on how interactive the live Zoom lectures are. For some live classes I plan to do non-lecture activities, e.g. problem solving, computation examples, flipped classroom exercises.

There will be no “live” activities that students are required to attend to receive credit/grades. If you choose you will be able to watch all of the course content on your own schedule without being penalized.

Course Site:

D2L: PHYS 507 L01-(Fall 2020)-Solid State Physics

Note: Students must use their U of C account for all course correspondence.

In addition to D2L, an online tool that allows for chatting with me and other students will be setup. In the past I have used Piazza for this purpose, but it has fallen in popularity among students in recent years. I am currently planning to use Slack as a replacement but will consult with the class before making a final decision.

2. Requisites:

   See section 3.5.C in the Faculty of Science section of the online Calendar.

   Prerequisite(s):
   Physics 443 or Chemistry 373; and Physics 449 and 455.

3. Grading:

   The University policy on grading and related matters is described in F.1 and F.2 of the online University Calendar.

   In determining the overall grade in the course the following weights will be used:

<table>
<thead>
<tr>
<th>Component(s)</th>
<th>Weighting %</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Weekly reading assignment</td>
<td>15</td>
<td>Week of October 16</td>
</tr>
<tr>
<td>Midterm assignment</td>
<td>15</td>
<td>Last week of class</td>
</tr>
<tr>
<td>Homework</td>
<td>40</td>
<td></td>
</tr>
<tr>
<td>Final presentation</td>
<td>15</td>
<td>Due during week of Dec. 14</td>
</tr>
<tr>
<td>Final project</td>
<td>15</td>
<td></td>
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</tbody>
</table>

Each piece of work (reports, assignments, quizzes, midterm exam(s) or final examination) submitted by the student will be assigned a grade. The student's grade for each component listed above will be combined with the indicated weights to produce an overall percentage for the course, which will be used to determine the course letter grade.

The conversion between a percentage grade and letter grade is as follows.

<table>
<thead>
<tr>
<th>Minimum % Required</th>
<th>A+</th>
<th>A</th>
<th>A-</th>
<th>B+</th>
<th>B</th>
<th>B-</th>
<th>C+</th>
<th>C</th>
<th>C-</th>
<th>D+</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td>95 %</td>
<td>90 %</td>
<td>85 %</td>
<td>80 %</td>
<td>75 %</td>
<td>70 %</td>
<td>65 %</td>
<td>60 %</td>
<td>55 %</td>
<td>50 %</td>
<td>45 %</td>
<td></td>
</tr>
</tbody>
</table>
4. **Missed Components Of Term Work:**

The university has suspended the requirement for students to provide evidence for absences. Please do not attend medical clinics for medical notes or Commissioners for Oaths for statutory declarations.

In the event that a student legitimately fails to submit any online assessment on time (e.g. due to illness etc...), please contact the course coordinator, or the course instructor if this course does not have a coordinator to arrange for a re-adjustment of a submission date. Absences not reported within 48 hours will not be accommodated. If an excused absence is approved, then the percentage weight of the legitimately missed assignment could also be pro-rated among the components of the course.

5. **Scheduled Out-of-Class Activities:**

There are no scheduled out of class activities for this course.

6. **Course Materials:**

   **Required Textbook(s):**


   In order to successfully engage in their learning experiences at the University of Calgary, students taking online, remote and blended courses are required to have reliable access to the following technology:

   - A computer with a supported operating system, as well as the latest security, and malware updates;
   - A current and updated web browser;
   - Webcam/Camera (built-in or external);
   - Microphone and speaker (built-in or external), or headset with microphone;
   - Current antivirus and/or firewall software enabled;
   - Stable internet connection.

   For more information please refer to the UofC ELearning online website.

7. **Examination Policy:**

   Calculator and one page (double sided) formula sheet allowed. Note that no timed exams will be given during this course.

   Students should also read the Calendar, Section G, on Examinations.

8. **Approved Mandatory And Optional Course Supplemental Fees:**

   There are no mandatory or optional course supplemental fees for this course.

9. **Writing Across The Curriculum Statement:**

   For all components of the course, in any written work, the quality of the student's writing (language, spelling, grammar, presentation etc.) can be a factor in the evaluation of the work. See also Section E.2 of the University Calendar.

10. **Human Studies Statement:**

    Students will not participate as subjects or researchers in human studies.

    See also Section E.5 of the University Calendar.

11. **Reappraisal Of Grades:**

    A student wishing a reappraisal, should first attempt to review the graded work with the Course coordinator/instructor or department offering the course. Students with sufficient academic grounds may request a reappraisal. Non-academic grounds are not relevant for grade reappraisals. Students should be aware that the grade being reappraised may be raised, lowered or remain the same. See Section I.3 of the University Calendar.

    a. **Term Work:** The student should present their rationale as effectively and as fully as possible to the Course coordinator/instructor within ten business days of either being notified about the mark, or of the item's return to the class. If the student is not satisfied with the outcome, the student shall submit the Reappraisal of Graded Term work form to the department in which the course is offered within 2 business days of receiving the decision from the instructor. The Department will arrange for a reappraisal of the work within the next ten business days. The reappraisal will only be considered if the student provides a detailed rationale that outlines where and for what reason an error is suspected. See sections I.1 and I.2 of the University Calendar.
b. **Final Exam:** The student shall submit the request to Enrolment Services. See Section I.3 of the University Calendar.

12. **Other Important Information For Students:**
   a. **Mental Health** The University of Calgary recognizes the pivotal role that student mental health plays in physical health, social connectedness and academic success, and aspires to create a caring and supportive campus community where individuals can freely talk about mental health and receive supports when needed. We encourage you to explore the mental health resources available throughout the university community, such as counselling, self-help resources, peer support or skills-building available through the SU Wellness Centre (Room 370, MacEwan Student Centre, Mental Health Services Website) and the Campus Mental Health Strategy website (Mental Health).

   b. **SU Wellness Center:** For more information, see www.ucalgary.ca/wellnesscentre or call 403-210-9355.

   c. **Sexual Violence:** The Sexual Violence Support Advocate, Carla Bertsch, can provide confidential support and information regarding sexual violence to all members of the university community. Carla can be reached by email (svsa@ucalgary.ca) or phone at 403-220-2208. The complete University of Calgary policy on sexual violence can be viewed at [https://www.ucalgary.ca/policies/files/policies/sexual-violence-policy.pdf](https://www.ucalgary.ca/policies/files/policies/sexual-violence-policy.pdf).

   d. **Misconduct:** Academic misconduct (cheating, plagiarism, or any other form) is a very serious offence that will be dealt with rigorously in all cases. A single offence may lead to disciplinary probation or suspension or expulsion. The Faculty of Science follows a zero tolerance policy regarding dishonesty. Please read the sections of the University Calendar under Section K. Student Misconduct to inform yourself of definitions, processes and penalties. Examples of academic misconduct may include: submitting or presenting work as if it were the student’s own work when it is not; submitting or presenting work in one course which has also been submitted in another course without the instructor's permission; collaborating in whole or in part without prior agreement of the instructor; borrowing experimental values from others without the instructor's approval; falsification/fabrication of experimental values in a report. **These are only examples.**

   e. **Academic Accommodation Policy:** Students needing an accommodation because of a disability or medical condition should contact Student Accessibility Services in accordance with the procedure for accommodations for students with disabilities available at procedure-for-accommodations-for-students-with-disabilities.pdf.

   Students needing an accommodation in relation to their coursework or to fulfill requirements for a graduate degree, based on a protected ground other than disability, should communicate this need, preferably in writing, to the Associate Head of the Department of Physics & Astronomy, Dr. David Feder by email phas.ahu@ucalgary.ca or phone 403-220-8127. Religious accommodation requests relating to class, test or exam scheduling or absences must be submitted no later than 14 days prior to the date in question. See Section E.4 of the University Calendar.

   f. **Freedom of Information and Privacy:** This course is conducted in accordance with the Freedom of Information and Protection of Privacy Act (FOIPP). Students should identify themselves on all written work by placing their name on the front page and their ID number on each subsequent page. For more information, see Legal Services website.

   g. **Student Union Information:** VP Academic, Phone: 403-220-3911 Email: suvpaca@ucalgary.ca. SU Faculty Rep., Phone: 403-220-3913 Email: sciencerep@su.ucalgary.ca. Student Ombudsman, Email: ombuds@ucalgary.ca.

   h. **Surveys:** At the University of Calgary, feedback through the Universal Student Ratings of Instruction (USRI) survey and the Faculty of Science Teaching Feedback form provides valuable information to help with evaluating instruction, enhancing learning and teaching, and selecting courses. Your responses make a difference - please participate in these surveys.

   i. **Copyright of Course Materials:** All course materials (including those posted on the course D2L site, a course website, or used in any teaching activity such as (but not limited to) examinations, quizzes, assignments, laboratory manuals, lecture slides or lecture materials and other course notes) are protected by law. These materials are for the sole use of students registered in this course and must not be redistributed. Sharing these materials with anyone else would be a breach of the terms and conditions governing student access to D2L, as well as a violation of the copyright in these materials, and may be pursued as a case of student academic or non-academic misconduct, in addition to any other remedies available at law.

   **Homework policy:** Homework is to be handed in via D2L.
Your worst homework score will not be counted towards your final grade. Late homework will not be accepted.

**Expected knowledge and skills of students entering the course:**

Understanding of interference, wavefunctions, plane waves, simple harmonic oscillators, differential equations. Familiarity with the structure of atoms and solids. Understanding of the role of eigenfunctions in quantum mechanics and their relationship to a Hamiltonian. Ability to solve the Schrödinger equation, understanding of electromagnetics.

**Final presentation information**

The final presentation will consist of an oral presentation of a scientific research paper that is related to material covered in class. This will be delivered over Zoom.

Length: 10 minutes + 2 minutes for questions

Slides in PDF or PPT form

Evaluation criteria:

- Clarity of visual presentation: 30%
- Oral presentation: 30%
- Communication of scientific content: 30%
- Response to questions: 10%

**Midterm information**

The midterm will differ from the homework in that it will be cumulative and contain problems not from the textbook.

**Final project information**

This non-textbook project will strive to include more computational and/or research aspects, as well as homework-like questions. It will be assigned roughly three weeks in advance of the due date.

**Reading assignment information**

On a roughly weekly basis you will be asked to read something from the literature, popular press, science blog, etc. and write a short response addressing a question that I will assign. These exercises will be graded primarily for participation.

**Schedule of topics:**

**Week 1: Lectures 1 - 2, (Sept. 9/11)**

- Boltzmann, Einstein and Debye specific heat
  - Chapter 2
- Operational concepts: partition function calculation of energy
  - Fundamental concepts: thermal energy as excitations of a Harmonic oscillator, Boltzann vs. Einstein statistics, particle in a box and density of states, Debye frequency/temperature.

**Week 2: Lectures 3 - 5, (Sept. 14/16/18)**

- Drude theory of electrons
  - Chapter 3
- Sommerfeld theory of electrons
  - Chapter 4 (not 4.3)
- Fundamental concepts: electron gas, electronic conductivity matrix, Fermi-Dirac statistics, Fermi exclusion principle, Fermi energy/temperature, density of states, difference between Fermi temperature and sample temperature and explanation/interpretation of this difference, impact of high Fermi temperature.

**Week 3: Lectures 6 - 8, (Sept. 21/23/25)**

- Periodic table
  - Chapter 5
- Bonding in solids
  - Chapter 6 (6.2 in detail)
- Types of matter (not covered in class)
  - Chapter 7
- Fundamental concepts: filling of electron states due to Fermi exclusion principle, interactions between outer shell electrons of nearby atoms, bonding = minimizing energy.
Chapter 9: Vibrations of atoms in a lattice

Week 4: Lectures 9 – 11, (Sept. 28/30, Oct. 2)
- Vibrations of diatomic atomic lattice
- Fundamental concepts: reciprocal lattice, normal modes and phonons, phonon dispersion, optical and acoustic phonons, Brillouin zone
- Tight binding of electrons
- Fundamental concepts: properties of tight binding potential, electron bands, band filling (influence of Fermi statistics), effective mass, valance and conduction band, conduction and insulation properties of full vs. partially full bands.

Chapter 10: Vibrations of diatomic atomic lattice

Fundamental concepts: reciprocal lattice, normal modes and phonons, phonon dispersion, optical and acoustic phonons, Brillouin zone

Chapter 11: Tight binding of electrons

Fundamental concepts: properties of tight binding potential, electron bands, band filling (influence of Fermi statistics), effective mass, valance and conduction band, conduction and insulation properties of full vs. partially full bands.

Chapter 12: Crystal structure

Reciprocal lattice

Discussion of scattering by a periodic potential (optical and electronic)

Photonic Crystal book

Week 5: Lectures 12 - 14, (Oct. 5/7/9)
- Crystal structure
- Reciprocal lattice
- Discussion of scattering by a periodic potential (optical and electronic)

Lattice properties (primitive lattice vector, other definitions in 12.1), different 3D lattices, lattice notation, reciprocal lattice vectors, Brillouin zones in 3D (warning: very important section!)

Chapter 13: Reciprocal lattice

Week 6: Lectures 15 – 16 (Oct. 14/16)

Thanksgiving Oct. 12 – no class

ELECTRONS IN A PERIODIC POTENTIAL

Nearly free electron model, perturbation theory, Bloch’s theorem

Midterm assignment due this week

Chapter 14: Discussion of scattering by a periodic potential (optical and electronic)

Week 7: Lectures 17 - 19 (Oct. 19/21/23)

Insulator, semiconductors, and metals

Predicting electronic and optical properties of materials from their bandstructure

Chapter 15: Electrons in a periodic potential

Week 8: Lectures 20 – 22 (Oct. 26/28/30)

Semiconductors

Chapter 16: Insulator, semiconductors, and metals

Week 9: Lectures 23 - 25 (Nov. 2/4/6)

Semiconductors

Devices

Chapter 17: Semiconductors

Week 10: Reading week (Nov. 9 – 13)

No class

Chapter 18: Semiconductors

Week 11: Lectures 26 - 28 (Nov. 16/18/20)

Semiconductors

Devices /lasers

Chapter 19: Semiconductors

Week 12: Lectures 30 – 32 (Nov. 23/25/27)

Topological photonics

Weeks 13 and 14 (Nov. 30, Dec. 2/4/7/9)

Review

Presentations

Course Outcomes:
- Understand the basics of the behaviour of solid state / condensed matter systems.
- Derive quantities such as electrical and thermal conductivity.
- Understand the origin and importance of electronic band structure.
- Explain the impact of periodic potentials on the wavefunction of an electron, and be able to make analogous predictions for other systems including photonic and phononic crystals.
- Predict the behaviour of materials and understand how they are used in technologies including transistors, integrated circuits, and lasers.