1. For an integer $n \ge 1$, let S(n) be the statement

$$2 + \frac{1}{24} - \frac{2}{n+1} \le \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2n-1}{n^3} \le 3 - \frac{2}{n} \ .$$

- (a) Prove by induction (or by well-ordering) that S(n) is true for all integers $n \ge 2$.
- (b) Let N be your student ID number. Use (a) to find

$$\left\lfloor \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2N-1}{N^3} \right\rfloor.$$

(a) Basis step. When n = 2 S(2) is

$$2 + \frac{1}{24} - \frac{2}{3} \le \frac{1}{1^3} + \frac{3}{2^3} \le 3 - \frac{2}{2}$$

which is

$$\frac{11}{8} \le 1 + \frac{3}{8} \le 2,$$

which is true.

Inductive step. Assume that S(k) holds for some integer $k \ge 2$. We want to prove that S(k+1) holds. So we are assuming that

$$2 + \frac{1}{24} - \frac{2}{k+1} \le \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2k-1}{k^3} \le 3 - \frac{2}{k} , \qquad (1)$$

and we want to prove that

$$2 + \frac{1}{24} - \frac{2}{k+2} \le \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2(k+1)-1}{(k+1)^3} \le 3 - \frac{2}{k+1} .$$
 (2)

From (1) we get

$$2 + \frac{1}{24} - \frac{2}{k+1} + \frac{2k+1}{(k+1)^3} \le \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2k-1}{k^3} + \frac{2k+1}{(k+1)^3} \le 3 - \frac{2}{k} + \frac{2k+1}{(k+1)^3}$$

So in order to prove (2), we would like to prove that

$$2 + \frac{1}{24} - \frac{2}{k+2} \le 2 + \frac{1}{24} - \frac{2}{k+1} + \frac{2k+1}{(k+1)^3}$$
(3)

and

$$3 - \frac{2}{k} + \frac{2k+1}{(k+1)^3} \le 3 - \frac{2}{k+1} .$$
(4)

Well,

(3)
$$\iff \frac{2}{k+1} - \frac{2}{k+2} \le \frac{2k+1}{(k+1)^3}$$

 $\iff \frac{2}{(k+1)(k+2)} \le \frac{2k+1}{(k+1)^3}$
 $\iff 2(k+1)^2 \le (k+2)(2k+1)$
 $\iff 2k^2 + 4k + 2 \le 2k^2 + 5k + 2,$

which is true for all integers $k \ge 2$. Thus (3) is true. Also,

(4)
$$\iff \frac{2k+1}{(k+1)^3} \le \frac{2}{k} - \frac{2}{k+1}$$
$$\iff \frac{2k+1}{(k+1)^3} \le \frac{2}{k(k+1)}$$
$$\iff (2k+1)k \le 2(k+1)^2$$
$$\iff 2k^2 + k \le 2k^2 + 4k + 2$$

which is also true for all integers $k \ge 2$. Thus (4) is true too. This finishes the proof of the inductive step. Thus S(n) holds for all integers $n \ge 2$.

(b) Since your student ID number N is greater than 47, $\frac{1}{24} > \frac{2}{N+1}$. Thus from (a),

$$2 < 2 + \frac{1}{24} - \frac{2}{N+1} \le \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2N-1}{N^3} \le 3 - \frac{2}{N} < 3.$$

Therefore

$$\left\lfloor \frac{1}{1^3} + \frac{3}{2^3} + \frac{5}{3^3} + \dots + \frac{2N-1}{N^3} \right\rfloor = 2.$$

- 2. The sequence $b_0, b_1, b_2, ...$ is defined by: $b_0 = 1, b_1 = 1, b_2 = 6$, and $b_n = 3b_{n-2} + 2b_{n-3}$ for all integers $n \ge 3$.
 - (a) Find b_3, b_4 and b_5 .
 - (b) Use part (a) (and more data if you need it) to guess a simple formula for b_n . [*Hint*: how far away is b_4 from the *nearest* power of 2? How about b_5 ?]
 - (c) Use strong induction (or well-ordering) to prove your guess.
 - (a) We get

$$b_3 = 3b_1 + 2b_0 = 3 \cdot 1 + 2 \cdot 1 = 5,$$

$$b_4 = 3b_2 + 2b_1 = 3 \cdot 6 + 2 \cdot 1 = 20,$$

$$b_5 = 3b_3 + 2b_2 = 3 \cdot 5 + 2 \cdot 6 = 27.$$

(b) The nearest power of 2 to $b_4 = 20$ is $2^4 = 16$, which is 4 less than b_4 . The nearest power of 2 to $b_5 = 27$ is $2^5 = 32$, which is 5 more than b_5 . We could also find that $b_6 = 3b_4 + 2b_3 = 3 \cdot 20 + 2 \cdot 5 = 70$, which is 6 more than the nearest power of 2 which is $2^6 = 64$. So we guess that

$$b_n = \begin{cases} 2^n + n & \text{if } n \text{ is even,} \\ 2^n - n & \text{if } n \text{ is odd,} \end{cases}$$

which could also be written as: $b_n = 2^n + (-1)^n n$ for all integers $n \ge 0$.

(c) Basis Step. We must prove that our guessed formula for b_n is true when n = 0, 1 and 2. Note that $2^0 + (-1)^0 0 = 1 + 0 = 1 = b_0$, $2^1 + (-1)^1 1 = 2 - 1 = 1 = b_1$, and $2^2 + (-1)^2 2 = 4 + 2 = 6 = b_2$, so this all checks. Inductive Step. Assume that the guessed formula is correct for all integers n between 0 and k inclusive, where $k \ge 2$ is some integer. We want to prove that the formula is correct for n = k + 1, that is we want to prove that $b_{k+1} = 2^{k+1} + (-1)^{k+1}(k+1)$. Well,

$$b_{k+1} = 3b_{k-1} + 2b_{k-2} \text{ by the recurrence}$$

= $3[2^{k-1} + (-1)^{k-1}(k-1)] + 2[2^{k-2} + (-1)^{k-2}(k-2)] \text{ by assumption}$
= $3 \cdot 2^{k-1} + 3(-1)^{k-1}(-1)^2(k-1) + 2^{k-1} + 2(-1)^{k-2}(-1)^4(k-2)$
= $4 \cdot 2^{k-1} + (-1)^{k+1}[3(k-1) - 2(k-2)]$
= $2^{k+1} + (-1)^{k+1}(3k-3-2k+4) = 2^{k+1} + (-1)^{k+1}(k+1),$

so the formula is correct for n = k + 1. Therefore the formula is correct for all integers $n \ge 0$.

3. You are given the following "while" loop:

[*Pre-condition:* m is a nonnegative *even* integer, a = 0, b = 0, c = 0.]

while $(a \neq m)$

1.
$$b := 2a - b$$

2. $c := 2b - c$
3. $a := a + 1$

end while

[Post-condition: c = 2m.]

Loop invariant: I(n) is

$$a = n, \quad b = \left\{ \begin{array}{ll} n & \text{if } n \text{ is even} \\ n - 1 & \text{if } n \text{ is odd} \end{array} \right\}, \quad c = \left\{ \begin{array}{ll} 2n & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{array} \right\}.$$

- (a) Prove the correctness of this loop with respect to the pre- and post-conditions.
- (b) Suppose the "while" loop is as above, but c = 1 in the pre-condition, and statement 2 in the "while" loop is replaced by: c := 2b a. Find a post-condition that gives the final value of c, and an appropriate loop invariant, and prove the correctness of this loop.
- (a) We first need to check that the loop invariant holds when n = 0. Since 0 is even, I(0) says a = 0, b = 0 and $c = 2 \cdot 0 = 0$, and these are all true by the pre-conditions. So now assume that the loop invariant I(k) holds for some integer $k \ge 0$ where k < m. We want to prove that I(k+1) holds, that is, that the loop invariant will still hold after one more pass through the loop. So we are assuming that

$$a = k, b = k, c = 2k$$
 if k is even, $a = k, b = k - 1, c = 0$ if k is odd,

and we now go through the loop.

• Step 1:
$$b := 2a - b = \left\{ \begin{array}{ll} 2k - k = k & \text{if } k \text{ is even} \\ 2k - (k - 1) = k + 1 & \text{if } k \text{ is odd} \end{array} \right\}$$
$$= \left\{ \begin{array}{ll} (k + 1) - 1 & \text{if } k + 1 \text{ is odd} \\ k + 1 & \text{if } k + 1 \text{ is even} \end{array} \right\}.$$

- Step 2: $c := 2b c = \left\{ \begin{array}{l} 2k 2k = 0 & \text{if } k \text{ is even} \\ 2(k+1) 0 = 2(k+1) & \text{if } k \text{ is odd} \end{array} \right\}$ $= \left\{ \begin{array}{l} 0 & \text{if } k+1 \text{ is odd} \\ 2(k+1) & \text{if } k+1 \text{ is even} \end{array} \right\}.$
- Step 3: a := a + 1 = k + 1.

This means that I(k+1) is true, as required.

Finally the loop stops when a = m, and we need to check that at that point the postcondition is satisfied. When a = m it means that the loop invariant I(m) must hold, so, since m is even, from I(m) we know that c = 2m as required.

(b) If we set the variables to their pre-condition values of a = 0, b = 0 and c = 1, and run through the loop, the new values we get are b = 2(0) - 0 = 0, c = 2(0) - 0 = 0, a = 1. If we continue to run through the loop, and keep track of the variables in a table, here is what we get:

n	0	1	2	3	4	5
b	0	0	2	2	4	4
С	1	0	3	2	5	4
a	0	1	2	3	4	5

From this (or by running through the loop once or twice more to collect more evidence) we can guess that the loop invariant we want will be

$$I(n): \quad a = n, \quad b = \left\{ \begin{array}{ll} n & \text{if } n \text{ is even} \\ n-1 & \text{if } n \text{ is odd} \end{array} \right\}, \quad c = \left\{ \begin{array}{ll} n+1 & \text{if } n \text{ is even} \\ n-1 & \text{if } n \text{ is odd} \end{array} \right\},$$

and the post-condition value of c ought to be c = m + 1, since m is even. This choice of I(n) becomes a = 0, b = 0 and c = 1 when n = 0, so the pre-condition is satisfied. So now we assume that the new loop invariant I(k) holds for some integer $k \ge 0$, k < m, and we want to prove that I(k + 1) holds. So we are assuming that

a = k, b = k, c = k + 1 if k is even, a = k, b = k - 1, c = k - 1 if k is odd, and we now go through the loop.

• Step 1:
$$b := 2a - b = \begin{cases} 2k - k = k & \text{if } k \text{ is even} \\ 2k - (k - 1) = k + 1 & \text{if } k \text{ is odd} \end{cases}$$

$$= \begin{cases} (k + 1) - 1 & \text{if } k + 1 \text{ is odd} \\ k + 1 & \text{if } k + 1 \text{ is even} \end{cases}$$
• Step 2: $c := 2b - a = \begin{cases} 2k - k = k & \text{if } k \text{ is even} \\ 2(k + 1) - k = k + 2 & \text{if } k \text{ is odd} \end{cases}$

$$= \begin{cases} (k + 1) - 1 & \text{if } k + 1 \text{ is odd} \\ (k + 1) - k = k + 2 & \text{if } k \text{ is odd} \end{cases}$$

• Step 3: a := a + 1 = k + 1.

This means that I(k+1) is true, as required.

Finally the loop stops when a = m, and we need to check that at that point the postcondition is satisfied. When a = m it means that the loop invariant I(m) must hold, so, since m is even, from I(m) we know that c = m + 1 as required.