On the vertex index of convex bodies [*]

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Abstract

We introduce the vertex index, vein($\mathbf{K}$), of a given centrally symmetric convex body $\mathbf{K} \subset \mathbb{R}^d$, which, in a sense, measures how well $\mathbf{K}$ can be inscribed into a convex polytope with small number of vertices. This index is closely connected to the illumination parameter of a body, introduced earlier by the first named author, and, thus, related to the famous conjecture in Convex Geometry about covering of a d-dimensional body by 2^d smaller positively homothetic copies. We provide asymptotically sharp estimates (up to a logarithmic term) of this index in the general case. More precisely, we show that for every centrally symmetric convex body $\mathbf{K} \subset \mathbb{R}^d$ one has

$$\frac{c \ d^{3/2}}{\mathrm{ovr}(\mathbf{K}) \ \sqrt{\ln(2d)}} \leq \mathrm{vein}(\mathbf{K}) \leq C \ d^{3/2} \ \ln(2d),$$

where $\mathrm{ovr}(\mathbf{K}) = \inf \left(\mathrm{vol}\,(\mathcal{E})/ \mathrm{vol}\,(\mathbf{K}) \right)^{1/d}$ is the outer volume ratio of $\mathbf{K}$ with the infimum taken over all ellipsoids $\mathcal{E} \supset \mathbf{K}$ and with $\mathrm{vol}\,(.)$ denoting the volume. Here, the lower estimate is sharp (up to a logarithmic term) for crosspolytopes and the upper estimate is sharp (again, up to a logarithmic term) for ellipsoids. Also, we provide sharp estimates in dimensions 2 and 3. Namely, in the planar case we prove that $4 \leq \mathrm{vein}(\mathbf{K}) \leq 6$ with equalities for parallelograms and affine regular convex hexagons, and in the 3-dimensional case we show that $6 \leq \mathrm{vein}(\mathbf{K})$ with equality for octahedra. We conjecture that the

inequality vein($\mathbf{K}$) ≤ 12 holds for every 3-dimensional centrally symmetric convex body. We also conjecture that the vertex index of a d-dimensional Euclidean ball (resp., ellipsoid) is $2d\sqrt{d}$. We prove the latter conjecture in dimensions two and three.

1   Introduction

Let $\mathbf{K}$ be a convex body symmetric about the origin 0 in $\mathbb{R}^d, d \geq 2$ (such bodies below we call 0-symmetric convex bodies). Now, we place $\mathbf{K}$ in a convex polytope, say $\mathbf{P}$, with vertices $p_1, p_2, \ldots, p_n$, where $n \geq d + 1$. Then it is natural to measure the closeness of the vertex set of $\mathbf{P}$ to the origin 0 by computing $\sum_{1 \leq i \leq n} \|p_i\|_{\mathbf{K}}$, where $\|x\|_{\mathbf{K}} = \inf\{\lambda > 0 \mid x \in \lambda\mathbf{K}\}$ denotes the norm of $x \in \mathbb{R}^d$ generated by $\mathbf{K}$. Finally, we look for the convex polytope that contains $\mathbf{K}$ and whose vertex set has the smallest possible closeness to 0 and introduce the *vertex index*, vein($\mathbf{K}$), of $\mathbf{K}$ as follows:

$$\text{vein}(\mathbf{K}) = \inf\left\{ \sum_i \|p_i\|_{\mathbf{K}} \mid \mathbf{K} \subset \text{conv}\{p_i\} \right\}.$$

We note that vein($\mathbf{K}$) is an affine invariant quantity assigned to $\mathbf{K}$, i.e. if $A : \mathbb{R}^d \to \mathbb{R}^d$ is an (invertible) linear map, then vein($\mathbf{K}$) = vein($A(\mathbf{K})$). The main goal of this paper is to give lower and upper estimates on vein($\mathbf{K}$). This question seems to raise a fundamental problem that is connected to some important problems of analysis and geometry including the problem of estimating the illumination parameters of convex bodies, the Boltyanski-Hadwiger illumination conjecture moreover, some of the problems on covering a convex body by another one and the problem of estimating the Banach-Mazur distances between convex bodies. Section 3 of this paper provides more details on these connections. Next we summarize the major results of our paper.

Theorem A   *There is an absolute positive constant c such that for every $d \geq 2$ one has*

$$\frac{c\ d^{3/2}}{\sqrt{\ln(2d)}} \leq \text{vein}(\mathbf{B}_2^d) \leq 2d^{3/2},$$

where $\mathbf{B}_2^d$ denotes the Euclidean unit ball in $\mathbb{R}^d$. Moreover, if $d = 2, 3$ then vein($\mathbf{B}_2^d$) $= 2d^{3/2}$.

In fact, the above theorem is a combination of Theorem 4.1 and of Corollary 5.3 in Sections 4 and 5. In connection with that it seems natural to conjecture the following.

Conjecture B *For every $d \geq 2$ one has*
$$\text{vein}(\mathbf{B}_2^d) = 2d^{3/2}.$$

If Conjecture B holds, then it is easy to see that it implies via Lemma 3.5 the inequality $2d \leq \text{vein}(\mathbf{K})$ for any convex body $\mathbf{K}$ in $\mathbb{R}^d$. Note that by Proposition 5.1 below, $\text{vein}(\mathbf{C}) = 2d$, where $\mathbf{C}$ denotes any d-dimensional crosspolytope of $\mathbb{R}^d$.

The following is the major result of Section 5, which is, in fact, a combination of Theorems 5.2 and 5.7.

Theorem C *There are absolute constants $c > 0, C > 0$ such that for every $d \geq 2$ and every 0-symmetric convex body $\mathbf{K}$ in $\mathbb{R}^d$ one has*
$$\frac{c \ d^{3/2}}{\text{ovr}(\mathbf{K}) \ \sqrt{\ln(2d)}} \leq \text{vein}(\mathbf{K}) \leq C \ d^{3/2} \ \ln(2d),$$

where $\text{ovr}(\mathbf{K}) = \inf \left(\text{vol}\,(\mathcal{E})/\text{vol}\,(\mathbf{K})\right)^{1/d}$ is the outer volume ratio of $\mathbf{K}$ with the infimum taken over all ellipsoids $\mathcal{E} \supset \mathbf{K}$ and with $\text{vol}\,(\cdot)$ denoting the volume.

Examples of a cross-polytope $\mathbf{C}$ (see Proposition 5.1) and of $\mathbf{B}_2^d$ (see Theorem A) show that both estimates in Theorem C can be asymptotically sharp, up to a logarithmic term. One may wonder about the *precise* bounds. Section 4 investigates this question in dimensions 2 and 3. However, in high dimensions the answer to this question might be different. As we mentioned above, Conjecture B would imply that the function $\text{vein}(\cdot)$ attains its minimum at crosspolytopes. It is not clear to us for what convex bodies should the function $\text{vein}(\cdot)$ attain its maximum. In particular, as Corollary 5.3 gives an upper estimate on the vertex index of d-cubes which is somewhat weaker than the similar estimate for Euclidean d-balls, it is natural to ask, whether the function $\text{vein}(\cdot)$ attains its maximum at (affine) cubes. On the other hand, it would not come as a surprise to us if the answer to this question were negative, in which case it seems reasonable to suggest the ellipsoids (in particular, in dimensions of the form $d = 2^m$) or perhaps, the dual of $\mathbb{S} - \mathbb{S}$, where $\mathbb{S}$ denotes any simplex, as convex bodies for which the function $\text{vein}(\cdot)$ attains its maximum.

2   Notations

In this paper we identify the a d-dimensional affine space with $\mathbb{R}^d$. By $|\cdot|$ and $\langle\cdot,\cdot\rangle$ we denote the canonical Euclidean norm and the canonical inner product on $\mathbb{R}^d$. The canonical basis of $\mathbb{R}^d$ we denote by $e_1,\ldots,e_d$. By $\|\cdot\|_p$, $1 \leq p \leq \infty$, we denote the ℓ_p-norm, i.e.

$$\|x\|_p = \left(\sum_{i\geq 1} |x_i|^p\right)^{1/p} \quad \text{for} \ \ p < \infty \quad \text{and} \quad \|x\|_\infty = \sup_{i\geq 1} |x_i|.$$

In particular, $\|\cdot\|_2 = |\cdot|$. As usual, $\ell_p^d = (\mathbb{R}^d, \|\cdot\|_p)$, and the unit ball of ℓ_p^d is denoted by $\mathbf{B}_p^d$.

Given points $x_1,\ldots,x_k$ in $\mathbb{R}^d$ we denote their convex hull by $\operatorname{conv}\{x_i\}_{i\leq k}$ and their absolute convex hull by $\operatorname{abs\,conv}\{x_i\}_{i\leq k} = \operatorname{conv}\{\pm x_i\}_{i\leq k}$. Similarly, the convex hull of a set $A \subset \mathbb{R}^d$ is denoted by $\operatorname{conv} A$ and absolute convex hull of A is denoted by $\operatorname{abs\,conv} A$ ($= \operatorname{conv} A \cup -A$).

Let $\mathbf{K} \subset \mathbb{R}^d$ be a convex body, i.e. a compact convex set with non-empty interior such that the origin 0 of $\mathbb{R}^d$ belongs to $\mathbf{K}$. We denote by $\mathbf{K}^\circ$ the polar of $\mathbf{K}$, i.e.

$$\mathbf{K}^\circ = \{x \mid \langle x,y\rangle \leq 1 \ \text{ for every } \ y \in \mathbf{K}\}.$$

As is well-known, if E is a linear subspace of $\mathbb{R}^d$, then the polar of $\mathbf{K} \cap E$ (within E) is

$$(\mathbf{K} \cap E)^\circ = P_E \mathbf{K}^\circ,$$

where P_E is the orthogonal projection onto E. Note also that $\mathbf{K}^{\circ\circ} = \mathbf{K}$.

If $\mathbf{K}$ is an 0-symmetric convex body, then the Minkowski functional of K,

$$\|x\|_{\mathbf{K}} = \inf\{\lambda > 0 \mid x \in \lambda\mathbf{K}\},$$

defines a norm on $\mathbb{R}^d$ with the unit ball $\mathbf{K}$.

The *Banach-Mazur distance* between two 0-symmetric convex bodies $\mathbf{K}$ and $\mathbf{L}$ in $\mathbb{R}^d$ is defined by

$$d(\mathbf{K}, \mathbf{L}) = \inf\{\lambda > 0 \ \mid \ \mathbf{L} \subset T\mathbf{K} \subset \lambda\mathbf{L}\},$$

where the infimum is taken over all linear operators $T : \mathbb{R}^d \to \mathbb{R}^d$. It is easy to see that

$$d(\mathbf{K}, \mathbf{L}) = d(\mathbf{K}^\circ, \mathbf{L}^\circ).$$

The Banach-Mazur distance between $\mathbf{K}$ and the closed Euclidean ball $\mathbf{B}_2^d$ we denote by $d_\mathbf{K}$. As it is well-known, John's Theorem ([J]) implies that for every 0-symmetric convex body $\mathbf{K}$, $d_\mathbf{K}$ is bounded by $\sqrt{d}$. Moreover, $d_{\mathbf{B}_1^d} = d_{\mathbf{B}_\infty^d} = \sqrt{d}$ (see e.g. [T]).

Given a (convex) body $\mathbf{K}$ in $\mathbb{R}^d$ we denote its volume by $\mathrm{vol}\,(\mathbf{K})$. Let $\mathbf{K}$ be a 0-symmetric convex body in $\mathbb{R}^d$. The *outer volume ratio* of $\mathbf{K}$ is

$$\mathrm{ovr}(\mathbf{K}) = \inf \left(\frac{\mathrm{vol}\,(\mathcal{E})}{\mathrm{vol}\,(\mathbf{K})} \right)^{1/d},$$

where the infimum is taken over all 0-symmetric ellipsoids in $\mathbb{R}^d$ containing $\mathbf{K}$. By John's theorem we have

$$\mathrm{ovr}(\mathbf{K}) \leq \sqrt{d}.$$

Given a finite set A we denote its cardinality by $|A|$.

3 Preliminary results and relations to other problems

Let $\mathbf{K}$ be a 0-symmetric convex body in $\mathbb{R}^d, d \geq 2$. An exterior point $p \in \mathbb{R}^d \setminus \mathbf{K}$ of $\mathbf{K}$ illuminates the boundary point q of $\mathbf{K}$ if the half line emanating from p passing through q intersects the interior of $\mathbf{K}$ (at a point not between p and q). Furthermore, a family of exterior points of $\mathbf{K}$, say $\{p_1, p_2, \ldots, p_n\} \subset \mathbb{R}^d \setminus \mathbf{K}$, illuminates $\mathbf{K}$ if each boundary point of $\mathbf{K}$ is illuminated by at least one of the point sources $p_1, p_2, \ldots, p_n$. The points $p_1, p_2, \ldots, p_n$ here are called light sources. The well-known Boltyanski-Hadwiger conjecture says that every d-dimensional convex body K can be illuminated by 2^d points. Clearly, we need 2^d points to illuminate any d-dimensional affine cube. The Boltyanski-Hadwiger conjecture is equivalent to another famous long-standing conjecture in Convex Geometry, which says that every d-dimensional convex body K can be covered by 2^d smaller positively homothetic copies of K. Again, the example of a d-dimensional affine cube shows that 2^d cannot be improved in general. We refer the interested reader to [Be2], [Be3], [MS] for further information and partial results on these conjectures.

Although computing the smallest number of points illuminating a given body is very important, it does not provide any quantitative information on

points of illumination. In particular, one can take light sources to be very far from the body. To control that, the first named author introduced ([Be1]) the *illumination parameter*, ill($\mathbf{K}$), of $\mathbf{K}$ as follows:

$$\text{ill}(\mathbf{K}) = \inf \left\{ \sum_i \|p_i\|_{\mathbf{K}} \quad | \quad \{p_i\} \text{ illuminates } \mathbf{K} \right\}.$$

Clearly this insures that far-away light sources are penalized. In [Be1] the following theorem was proved.

Theorem 3.1 *If $\mathbf{K}$ is a 0-symmetric convex domain of $\mathbb{R}^2$, then* ill($\mathbf{K}$) ≤ 6 *with equality for any affine regular convex hexagon.*

In the same paper the problem of finding the higher dimensional analogue of that claim was raised as well.

Motivated by the notion of the illumination parameter Swanepoel [Sw] introduced the *covering parameter*, cov($\mathbf{K}$), of $\mathbf{K}$ in the following way.

$$\text{cov}(\mathbf{K}) = \inf \left\{ \sum_i (1 - \lambda_i)^{-1} \mid \mathbf{K} \subset \bigcup_i (\lambda_i \mathbf{K} + t_i), 0 < \lambda_i < 1, t_i \in \mathbb{R}^d \right\}.$$

In this way homothets almost as large as $\mathbf{K}$ are penalized. Swanepoel [Sw] proved the following fundamental inequality.

Theorem 3.2 *Let $\mathbf{K}$ be an 0-symmetric convex body in $\mathbb{R}^d, d \geq 2$. Then*

$$\text{ill}(\mathbf{K}) \leq 2 \cdot \text{cov}(\mathbf{K}) \leq O(2^d d^2 \ln d).$$

It is not difficult to see that for any convex body $\mathbf{K}$ in $\mathbb{R}^d, d \geq 2$ one has vein($\mathbf{K}$) $\leq$ ill($\mathbf{K}$) with equality for all smooth $\mathbf{K}$. Thus, the above two theorems yield the following immediate result.

Corollary 3.3 *Let $\mathbf{K}$ be an 0-symmetric convex body in $\mathbb{R}^d, d \geq 2$. Then*
(i) in case of $d = 2$ the inequality vein($\mathbf{K}$) ≤ 6 *holds;*
(ii) in case of $d \geq 3$ the inequality vein($\mathbf{K}$) $\leq O(2^d d^2 \ln d)$ *stands.*

As we mentioned in the Introduction, the main goal of this paper is to improve the above estimates and also to give lower bounds. We note that Theorem A and C essentially improve the previously known estimates on the illumination parameter (of smooth convex bodies). Indeed, they immediately imply the following corollary.

Corollary 3.4 *There is an absolute constant $c > 0$ such that for every $d \geq 2$ and every 0-symmetric convex body $\mathbf{K} \subset \mathbb{R}^d$ one has*

$$\frac{c \; d^{3/2}}{\mathrm{ovr}(\mathbf{K}) \; \sqrt{\ln(2d)}} \leq \mathrm{ill}(\mathbf{K}).$$

Moreover, if $\mathbf{K}$ is smooth, then

$$\mathrm{ill}(\mathbf{K}) \leq C \; d^{3/2} \; \ln(2d),$$

where $C > 0$ is an absolute constant.

Finally, we mention two results on Banach-Mazur distances, that will be used below.

Lemma 3.5 *Let $\mathbf{K}$ and $\mathbf{L}$ be 0-symmetric convex bodies in $\mathbb{R}^d$. Then*

$$\mathrm{vein}(\mathbf{K}) \leq d\,(\mathbf{K}, \mathbf{L}) \cdot \mathrm{vein}(\mathbf{L}).$$

Proof: Let T be a linear operator such that $\mathbf{K} \subset T\mathbf{L} \subset \lambda \mathbf{K}$. Let $p_1, p_2, ..., p_n \in \mathbb{R}^d$ be such that $\mathrm{conv}\,\{p_i\}_{1 \leq i \leq n} \supset \mathbf{L}$. Then $\mathrm{conv}\,\{Tp_i\}_{1 \leq i \leq n} \supset T\mathbf{L} \supset \mathbf{K}$. Since $T\mathbf{L} \subset \lambda\mathbf{K}$, we also have $\|\cdot\|_{\mathbf{K}} \leq \lambda \|\cdot\|_{T\mathbf{L}}$. Therefore,

$$\sum_{1 \leq i \leq n} \|Tp_i\|_{\mathbf{K}} \leq \lambda \sum_{1 \leq i \leq n} \|Tp_i\|_{T\mathbf{L}} = \lambda \sum_{1 \leq i \leq n} \|p_i\|_{\mathbf{L}},$$

which implies the desired result. $\qquad\qquad\square$

We will also use the following result (Theorem 2 in [GKM], see also Proposition 37.6 in [T]).

Theorem 3.6 *For every $d \geq 1$ we have*

$$d\left(\mathbf{B}_1^d, \mathbf{B}_\infty^d\right) \leq C\sqrt{d},$$

with $C = 1$ if $d = 2^m$ for some integer m and $C = \sqrt{2} + 1$ in the general case.

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4 The vertex index in dimensions 2 and 3

In this section we prove the following theorem.

Theorem 4.1

(i) For the Euclidean balls in $\mathbb{R}^2$ and $\mathbb{R}^3$ we have

$$\mathrm{vein}(\mathbf{B}_2^2) = 4\sqrt{2}, \qquad \mathrm{vein}(\mathbf{B}_2^3) = 6\sqrt{3}.$$

(ii) In general, if $\mathbf{K} \subset \mathbb{R}^2$, $\mathbf{L} \subset \mathbb{R}^3$ are arbitrary 0-symmetric convex bodies, then

$$4 \le \mathrm{vein}(\mathbf{K}) \le 6 \le \mathrm{vein}(\mathbf{L}) \le 18.$$

Remarks.
1. Clearly, $\mathrm{vein}(\mathbf{B}_1^d) \le 2d$. Thus, Theorem 4.1 implies $\mathrm{vein}(\mathbf{B}_1^d) = 2d$ for $d = 2$ and $d = 3$. Below (Proposition 5.1) we extend this equality to the general case.
2. By Remark 1, the lower estimates in (ii) are sharp. Moreover, it is not hard to see that the upper estimate 6 in the planar case is also sharp by taking any affine regular convex hexagon (cf. Theorem 3.1).
3. We do not know the best possible possible upper estimate in the 3-dimensional case. It seems reasonable to conjecture the following.

Conjecture 4.2 *If $\mathbf{K}$ is an arbitrary 0-symmetric convex body in $\mathbb{R}^3$, then*

$$\mathrm{vein}(\mathbf{K}) \le 12$$

with equality for truncated octahedra of the form $\mathbf{T} - \mathbf{T}$, where $\mathbf{T}$ denotes an arbitrary tetrahedron of $\mathbb{R}^3$.

Note that by Lemma 3.5 this conjecture would be true if, for example, one could prove that $d(\mathbf{B}_1^3, \mathbf{K}) \le 2$ for every 0-symmetric 3-dimensional convex body. To the best of our knowledge no estimates are known for $\max_{\mathbf{K}} d(\mathbf{B}_1^3, \mathbf{K})$, except the trivial bound 3. Note also that any bound better than 3 will improve the estimate 18 in part (ii) of Theorem 4.1.

Proof of Theorem 4.1
(i) The upper estimate $\mathrm{vein}(\mathbf{B}_2^d) \le 2d\sqrt{d}$ is trivial, since $\mathbf{B}_2^d \subset \sqrt{d}\, \mathbf{B}_1^d$ for every d (cf. Corollary 5.3 below). We show the lower estimates.

Let $\mathbf{P} \subset \mathbb{R}^2$ be a convex polygon with vertices $p_1, p_2, \ldots, p_n, n \geq 3$ containing $\mathbf{B}_2^2$. Let $\mathbf{P}^\circ$ denote the polar of $\mathbf{P}$. Assume that the side of $\mathbf{P}^\circ$ corresponding to the vertex p_i of $\mathbf{P}$ generates the central angle $2\alpha_i$ with vertex 0. Obviously, $0 < \alpha_i < \pi/2$ for all $1 \leq i \leq n$. As $\frac{1}{\cos x}$ is a convex function over the open interval $(-\pi/2, \pi/2)$ therefore the Jensen inequality implies that

$$\sum_{i=1}^n |p_i| = \sum_{i=1}^n \frac{1}{\cos \alpha_i} \geq \frac{n}{\cos\left(\frac{\sum_{i=1}^n \alpha_i}{n}\right)} = \frac{n}{\cos \frac{\pi}{n}}.$$

It is easy to see that $\frac{n}{\cos \frac{\pi}{n}} \geq \frac{4}{\cos \frac{\pi}{4}} = 4\sqrt{2}$ holds for all $n \geq 3$. Thus, $\mathrm{vein}(\mathbf{B}_2^2) \geq 4\sqrt{2}$. This completes the proof in the planar case.

Now, we handle the 3-dimensional case. Let $\mathbf{P} \subset \mathbb{R}^3$ be a convex polyhedron with vertices $p_1, p_2, \ldots, p_n, n \geq 4$ containing $\mathbf{B}_2^3$. Let $\mathbf{P}^\circ$ denote the polar of $\mathbf{P}$. Let F_i denote the central projection of the face of $\mathbf{P}^\circ$ that corresponds to the vertex p_i of $\mathbf{P}$ from the center 0 onto the boundary of $\mathbf{B}_2^3$ i.e. onto the unit sphere $\mathbb{S}^2$ centered at 0, where $1 \leq i \leq n$. Obviously, F_i is a spherically convex polygon of $\mathbb{S}^2$. Let n_i denote the number of sides of F_i and let a_i stand for the spherical area of F_i. Also, if C_i denotes the (closed) spherical cap of $\mathbb{S}^2$ with spherical radius R_i which is the union of points $x \in \mathbb{S}^2$ such that the open line segment connecting x and p_i is disjoint from $\mathbf{B}_2^3$, then clearly $F_i \subset C_i$ and $|p_i| = \frac{1}{\cos R_i}$ for all $1 \leq i \leq n$. As $10 < 6\sqrt{3} = 10.3923... < 11$ therefore without loss of generality we may assume that $4 \leq n \leq 10$. Again without loss of generality we may assume that there is no R_i for which $|p_i| = \frac{1}{\cos R_i} \geq 11 - 3 = 8$ in other words, we assume that $0 < R_i < \arccos \frac{1}{8} = 1.4454... < \frac{\pi}{2} = 1.5707...$ holds for all $1 \leq i \leq n$. Note that this implies in a straightforward way that $0 < a_i < \frac{7\pi}{4} = 5.4977...$ holds for all $1 \leq i \leq n$. We will distinguish the following three cases: (i/a) $6 \leq n \leq 10$, (i/b) $n = 5$ and (i/c) $n = 4$.

Case (i/a): The following is well-known. If $C \subset \mathbb{S}^2$ is a (closed) spherical cap of radius $< \frac{\pi}{2}$, then the spherical area of a spherically convex polygon with at most $s \geq 3$ sides lying in C is maximal for the regular spherically convex polygon with s sides inscribed in C. (This can be easily obtained with the help of the Lexell-circle (see [F]).) This implies in a straightforward way the following inequality. Let F_i^* be a regular spherically convex polygon with n_i sides and of spherical area a_i. If R_i^* denotes the circumradius of F_i^*,

then $\frac{1}{\cos R_i^*} = \tan \frac{\pi}{n_i} \tan \left(\frac{a_i + (n_i - 2)\pi}{2n_i} \right)$ moreover,

$$|p_i| = \frac{1}{\cos R_i} \geq \tan \frac{\pi}{n_i} \tan \left(\frac{a_i + (n_i - 2)\pi}{2n_i} \right)$$

holds for all $1 \leq i \leq n$. Euler's theorem applied to the edge graph of $\mathbf{P}^\circ$ yields that

$$\sum_{i=1}^{n} n_i \leq 6n - 12.$$

Also, note that

$$\sum_{i=1}^{n} a_i = 4\pi.$$

Now, recall that $3 \leq n_i \leq n - 1 \leq 9$ and $0 < a_i < \frac{7\pi}{4} = 5.4977...$ hold for all $1 \leq i \leq n$. These inequalities make natural to introduce the function $f(x, y) = \tan \frac{\pi}{y} \tan \left(\frac{x + (y-2)\pi}{2y} \right)$ over the closed rectangle $R := \{(x, y) \mid 0 \leq x \leq 5.5, \ 3 \leq y \leq 9\}$. It is not hard to see (using standard analytic approach or tools like MAPLE) that $f(x, y)$ is a convex function over R and that for any fixed x_0 with $0 \leq x_0 \leq 5.5$ the function $f(x_0, y)$ is decreasing in y over the closed interval $3 \leq y \leq 9$. As a result, the Jensen inequality and the above inequalities imply that

$$\sum_{i=1}^{n} |p_i| \geq \sum_{i=1}^{n} f(a_i, \ n_i) \geq n \ f \left(\frac{4\pi}{n}, \ \frac{6n - 12}{n} \right).$$

Finally, the inequality

$$n \ f \left(\frac{4\pi}{n}, \ \frac{6n - 12}{n} \right) \geq 6 \ f \left(\frac{4\pi}{6}, \ \frac{6 \cdot 6 - 12}{6} \right) = 6\sqrt{3}$$

holding for all $6 \leq n \leq 10$ finishes the proof of Case (i/a).

Case (i/b): Obviously, $\mathbf{P}$ is either a double tetrahedron or a cone over a quadrilateral. As the later one can be thought of as a limiting case of double tetrahedra, we can assume, that the edge graph of $\mathbf{P}$ has two vertices say, p_1 and p_2 of degree three and three vertices namely, p_3, p_4 and p_5 of degree four. Just as in Case (i/a) we get that

$$\sum_{i=1}^{5} |p_i| \geq \sum_{i=1}^{5} f(a_i, \ n_i).$$

Using the Jensen inequality in a somewhat different way than before we get that

$$\sum_{i=1}^{5} f(a_i,\ n_i) \geq 2\ f\left(\frac{a_1+a_2}{2},\ 3\right) + 3\ f\left(\frac{a_3+a_4+a_5}{3},\ 4\right) =$$

$$2\ f(a,\ 3) + 3\ f\left(\frac{4\pi-2a}{3},\ 4\right) = 2\sqrt{3}\ \tan\left(\frac{a+\pi}{6}\right) + 3\ \tan\left(\frac{5\pi-a}{12}\right) = g(a),$$

where $0 < a = \frac{a_1+a_2}{2} < \frac{7\pi}{4} = 5.4977...$. Finally, it is easy to show that the minimum value of $g(a)$ over the closed interval $0 \leq a \leq 5.5$ is (equal to 10.5618... and therefore is) strictly larger than $6\sqrt{3} = 10.3923...$, finishing the proof of Case (i/b).

Case (i/c): **P** is a tetrahedron with triangular faces T_1, T_2, T_3 and T_4. Without loss of generality we may assume that $\mathbf{B}_2^3$ is tangential to the faces T_1, T_2, T_3 and T_4. Then the well-known inequality between the harmonic and arithmetic means yields that

$$1 = \sum_{i=1}^{4} \frac{\frac{1}{3}\mathrm{area}(T_i)}{\mathrm{vol}(\mathbf{P})} \geq \sum_{i=1}^{4} \frac{1}{|p_i|+1} \geq \frac{4^2}{\sum_{i=1}^{4}(|p_i|+1)}.$$

This implies in a straightforward way that

$$\sum_{i=1}^{4} |p_i| \geq 12 > 6\sqrt{3},$$

finishing the proof of Case (i/c).

(*ii*) First, observe that (*i*), John's Theorem, and Lemma 3.5 imply that

$$4 = \frac{4\sqrt{2}}{\sqrt{2}} \leq \frac{\mathrm{vein}(\mathbf{B}_2^2)}{d_{\mathbf{K}}} \leq \mathrm{vein}(\mathbf{K})$$

and

$$6 = \frac{6\sqrt{3}}{\sqrt{3}} \leq \frac{\mathrm{vein}(\mathbf{B}_2^3)}{d_{\mathbf{L}}} \leq \mathrm{vein}(\mathbf{L}) \leq d_{\mathbf{L}} \cdot \mathrm{vein}(\mathbf{B}_2^3) \leq 18.$$

Second, Corollary 3.3 shows that indeed $\mathrm{vein}(\mathbf{K}) \leq 6$, finishing the proof. $\square$

Remark. Note that the proof of Case (i/c) works in higher dimensions as well. Namely, if **P** is a simplex containing the Euclidean ball $\mathbf{B}_2^d$ and the p_i's

denote the vertices of $\mathbf{P}$, then

$$\sum_{i=1}^{n+1} |p_i| \geq d(d+1)$$

with equality only for regular simplices circumscribed $\mathbf{B}_2^d$.

5 The vertex index in the high dimensional case

In this section we deal with the high dimensional case. First, we compute precisely $\text{vein}(\mathbf{B}_1^d)$. Then we provide a lower and an upper estimates in the general case.

Proposition 5.1 *For every $d \geq 2$ one has*

$$\text{vein}(\mathbf{B}_1^d) = 2d.$$

Proof: The estimate $\text{vein}(\mathbf{B}_1^d) \leq 2d$ is trivial.

Now assume that $\{p_i\}_{i=1}^N$ be such that $p_i = \{p_{ij}\}_{j=1}^d \in \mathbb{R}^d$ for every $i \leq N$ and $\mathbf{B}_1^d \subset \text{conv}\,\{p_i\}_{i=1}^N$. Then for every $k \leq d$ we have that e_k and $-e_k$ are convex combinations of p_i's, that is, there are $\{\alpha_{ki}\}_{i=1}^N$ and $\{\beta_{ki}\}_{i=1}^N$ such that $\alpha_{ki} \geq 0$, $\beta_{ki} \geq 0$, $i \leq N$, and

$$\sum_{i=1}^N \alpha_{ki} = \sum_{i=1}^N \beta_{ki} = 1, \quad e_k = \sum_{i=1}^N \alpha_{ki} p_i, \quad -e_k = \sum_{i=1}^N \beta_{ki} p_i.$$

It implies for every k

$$1 = \sum_{i=1}^N \alpha_{ki} p_{ik} \leq \max_{i \leq N} p_{ik}$$

and

$$-1 = \sum_{i=1}^N \beta_{ki} p_{ik} \geq \min_{i \leq N} p_{ik}.$$

Therefore

$$\sum_{i=1}^N \|p_i\|_1 = \sum_{i=1}^N \sum_{k=1}^d |p_{ik}| \geq \sum_{k=1}^d \left(\max_{i \leq N} p_{ik} - \min_{i \leq N} p_{ik} \right) \geq 2d.$$

proving the lower estimate $\text{vein}(\mathbf{B}_1^d) \geq 2d$. $\qquad\qquad\square$

5.1 A lower bound

In this section, we provide a lower estimate for $\text{vein}(\mathbf{K})$ in terms of *outer volume ratio* of $\mathbf{K}$. As the example of the Euclidean ball shows, our estimate can be asymptotically sharp (up to a logarithmic factor).

Theorem 5.2 *There is an absolute constant $c > 0$ such that for every $d \geq 2$ and every 0-symmetric convex body $\mathbf{K}$ in $\mathbb{R}^d$ one has*

$$\frac{c \; d^{3/2}}{\text{ovr}(\mathbf{K}) \; \sqrt{\ln(2d)}} \leq \text{vein}(\mathbf{K}).$$

Proof: Recall that $\text{vein}(\mathbf{K})$ is an affine invariant, i.e. $\text{vein}(\mathbf{K}) = \text{vein}(T\mathbf{K})$ for every invertible linear operator $T : \mathbb{R}^d \to \mathbb{R}^d$. Thus, without lost of generality we can assume that $\mathbf{B}_2^d$ is the ellipsoid of minimal volume for $\mathbf{K}$. In particular, $\mathbf{K} \subset \mathbf{B}_2^d$, so $|\cdot| \leq \|\cdot\|_{\mathbf{K}}$.

Let $\{p_i\}_1^N \in \mathbb{R}^d$ be such that $\mathbf{K} \subset \text{conv}\{p_i\}_1^N$. Clearly $N \geq d + 1$. We can also assume that $\|p_i\|_{\mathbf{K}} \geq 1$ for every i and $N \leq d^{3/2}$ (if $N > d^{3/2}$ then the lower estimate is trivial). Obviously, we have

$$\text{vol}(\mathbf{K}) \leq \text{vol}\left(\text{conv}\{p_i\}_1^N\right).$$

Corollary 2 of [GMP] (see also Corollary 2.2 of [GJ]), says that

$$\left(\text{vol}\left(\text{conv}\{p_i\}_1^N\right)\right)^{1/d} \leq c_1 \sqrt{\ln(2N/d)} \; \max_{|I|=d}\left(\text{vol}\left(\text{conv}\{\pm p_i\}_{i\in I}\right)\right)^{1/d},$$

where $c_1 > 0$ is an absolute constant, and maximum is taken over all $I \subset \{1, \ldots, N\}$ of cardinality d. Assume that the latter maximum is attained for some $J \subset \{1, \ldots, N\}$, $|J| = d$. Since

$$\text{vol}\left(\text{conv}\{\pm p_i\}_{i\in J}\right) = |\det(p_i)_{i\in J}| \; \text{vol}\left(\mathbf{B}_1^d\right),$$

where $\det(p_i)_{i\in I}$ denotes the determinant of the matrix whose columns are the vectors p_i, $i \in I$, and since the volume of $\mathbf{B}_1^d$ is bounded by $(c_2/d)^d$ for some absolute constant $c_2 > 0$, we obtain

$$(\text{vol}(\mathbf{K}))^{1/d} \leq \frac{c_1 c_2}{d} \; \sqrt{\ln(2N/d)} \; |\det(p_i)_{i\in J}|^{1/d}.$$

To estimate the determinant we use the Hadamard inequality and the well-known inequality between the geometric and arithmetic means.

$$|\det \ (p_i)_{i \in J}|^{1/d} \leq \left(\prod_{i \in J} |p_i| \right)^{1/d} \leq \frac{1}{d} \sum_{i \in J} |p_i|.$$

Therefore,

$$\sum_{i=1}^{N} \|p_i\|_{\mathbf{K}} \geq \sum_{i \in J} |p_i| \geq \frac{d^2}{c_1 c_2 \sqrt{\ln(2N/d)}} \ (\text{vol} \ (\mathbf{K}))^{1/d}.$$

Since

$$(\text{vol} \ (\mathbf{K}))^{1/d} = \left(\text{vol} \ (\mathbf{B}_2^d) \right)^{1/d} \frac{1}{\text{ovr}(\mathbf{K})} \geq \frac{c_3}{\sqrt{d} \ \text{ovr}(\mathbf{K})},$$

for an absolute constant $c_3 > 0$, and since $N \leq d^{3/2}$, we obtain the desired result. $\qquad\square$

We have the following immediate corollary of Theorem 5.2.

Corollary 5.3 *There is an absolute positive constant c such that for every $d \geq 2$ one has*

$$\frac{c \ d^{3/2}}{\sqrt{\ln(2d)}} \leq \text{vein}(\mathbf{B}_2^d) \leq 2 \ d^{3/2}, \qquad \frac{c \ d^{3/2}}{\sqrt{\ln(2d)}} \leq \text{vein}(\mathbf{B}_\infty^d) \leq C \ d^{3/2},$$

where $C = 2$ if $d = 2^m$ for some integer m and $C = 2/(\sqrt{2} - 1)$ in general.

Proof: The lower estimates here follow from Theorem 5.2 and from the observation that the outer volume ratio of a d-dimensional cube is bounded by an absolute constant from above (independent of the dimension). The upper estimates follow from Proposition 5.1 and Lemma 3.5, since $d(\mathbf{B}_2^d, \mathbf{B}_1^d) = \sqrt{d}$ and, by Theorem 3.6, $d(\mathbf{B}_\infty^d, \mathbf{B}_1^d) \leq (C/2)\sqrt{d}$. $\qquad\square$

Finally, we compare the vertex index of a given convex body to the vertex index of a Euclidean ball of smaller dimension.

Proposition 5.4 *Let $1 \leq k < d$. Let $\mathbf{K}$ be 0-symmetric convex body in $\mathbb{R}^d$ and $A := 4\pi \ \text{ovr}(\mathbf{K})$. Then*

$$\text{vein}(\mathbf{K}) \geq \frac{\text{vein}(\mathbf{B}_2^k)}{A^{\frac{d}{d-k}}}.$$

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Proof: Without loss of generality we assume that $\mathbf{B}_2^d$ is the minimal volume ellipsoid for $\mathbf{K}$. By the volume ratio theorem (the dual form) there exists a subspace E of dimension k such that

$$A^{-\frac{d}{d-k}} \ P_E \mathbf{B}_2^d \subset P_E \mathbf{K} \subset P_E \mathbf{B}_2^d,$$

where P_E denotes an orthogonal projection onto E (see e.g. Chapter 6 of [Pi]). Therefore, by Lemma 3.5,

$$A^{-\frac{d}{d-k}} \ \mathrm{vein}(\mathbf{B}_2^k) \leq \mathrm{vein}(P_E \mathbf{K}).$$

Since clearly $\mathrm{vein}(P_E \mathbf{K}) \leq \mathrm{vein}(\mathbf{K})$, we obtain the desired result. $\qquad\square$

Remark. Note that Conjecture B and Proposition 5.4 with $k = [3d/2 \ln A]$ would imply the following statement: For every d and every 0-symmetric convex body $\mathbf{K}$ in $\mathbb{R}^d$ one has

$$\mathrm{vein}(\mathbf{K}) \geq \frac{d^{3/2}}{e^2 \ A \ (\ln A)^{3/2}},$$

where $A := 4\pi \ \mathrm{ovr}(\mathbf{K})$. In particular, if $\mathbf{K}$ has *bounded outer volume ratio*, that is if $\mathrm{ovr}(\mathbf{K})$ is bounded from above by an absolute constant (independent of dimension) (like in the case of a d-cube), then

$$\mathrm{vein}(\mathbf{K}) \geq c \ d^{3/2},$$

where $c > 0$ is an absolute constant.

5.2   An upper bound

Let $u, \ v \in \mathbb{R}^d$. As usual $Id : \mathbb{R}^d \to \mathbb{R}^d$ denotes the identity operator and $u \otimes v$ denotes the operator from $\mathbb{R}^d$ to $\mathbb{R}^d$, defined by $(u \otimes v)(x) = \langle u, x \rangle \, v$ for every $x \in \mathbb{R}^d$. In [R1, R2], M. Rudelson proved the following theorem (see Corollary 4.3 of [R1] and Theorem 1.1 with Remark 4.1 of [R2]).

Theorem 5.5 *For every 0-symmetric convex body $\mathbf{K}$ in $\mathbb{R}^d$ and every $\varepsilon \in (0, 1]$ there exists a 0-symmetric convex body $\mathbf{L}$ in $\mathbb{R}^d$ such that $d(\mathbf{K}, \mathbf{L}) \leq 1 + \varepsilon$ and $\mathbf{B}_2^d$ is the minimal volume ellipsoid containing $\mathbf{L}$, and*

$$Id = \sum_{i=1}^{M} c_i u_i \otimes u_i,$$

where c_1, ..., c_M are positive numbers, u_1, ..., u_M are contact points of **L** *and* $\mathbf{B}_2^d$ *(that is* $\|u_i\|_{\mathbf{L}} = |u_i| = 1$*), and*

$$M \leq C \; \varepsilon^{-2} \; d \; \ln(2d),$$

with an absolute constant C.

Remark. It is a standard observation (cf. [Ba], [T]) that under the conditions of Theorem 5.5 one has

$$\mathbf{P} \subset \mathbf{L} \subset \mathbf{B}_2^d \subset \sqrt{d} \; \mathbf{L},$$

for $\mathbf{P} = \mathrm{abs\,conv}\,\{u_i\}_{i \leq M}$. Indeed, $\mathbf{P} \subset \mathbf{L}$ by the convexity and the symmetry of $\mathbf{L}$, and for every $x \in \mathbb{R}^d$ we have

$$x = Id \; x = \sum_{i=1}^{M} c_i \langle u_i, x \rangle \, u_i,$$

so

$$|x|^2 = \langle x, x \rangle = \sum_{i=1}^{M} c_i \langle u_i, x \rangle^2 \leq \max_{i \leq M} \langle u_i, x \rangle^2 \sum_{i=1}^{M} c_i = \|x\|_{\mathbf{P}^\circ}^2 \; \sum_{i=1}^{M} c_i.$$

Since

$$d = \mathrm{trace} \; Id = \mathrm{trace} \sum_{i=1}^{M} c_i u_i \otimes u_i = \sum_{i=1}^{M} c_i \langle u_i, u_i \rangle = \sum_{i=1}^{M} c_i,$$

we obtain $|x| \leq \sqrt{d} \; \|x\|_{\mathbf{P}^\circ}$, which means $\mathbf{P}^\circ \sqrt{d} \subset B_2^d$. By duality we have $B_2^d \subset \sqrt{d} \; \mathbf{P}$. Therefore, $d(\mathbf{K}, \mathbf{P}) \leq d(\mathbf{K}, \mathbf{L}) \, d(\mathbf{L}, \mathbf{P}) \leq (1+\varepsilon)\sqrt{d}$, and, hence, we have the following immediate consequence of Theorem 5.5.

Corollary 5.6 *For every 0-symmetric convex body* **K** *in $\mathbb{R}^d$ and every $\varepsilon \in (0,1]$ there exists a 0-symmetric convex polytope* **P** *in $\mathbb{R}^d$ with M vertices such that $d(\mathbf{K}, \mathbf{P}) \leq (1+\varepsilon)\sqrt{d}$ and*

$$M \leq C \; \varepsilon^{-2} \; d \; \ln(2d),$$

where C is an absolute constant.

This Corollary implies the general upper estimate for vein($\mathbf{K}$).

Theorem 5.7 *For every centrally symmetric convex body* $\mathbf{K}$ *in* $\mathbb{R}^d$ *one has*

$$\text{vein}(\mathbf{K}) \leq C \ d^{3/2} \ \ln(2d),$$

where C is an absolute constant.

Proof: Let $\mathbf{P}$ be a polytope given by Corollary 5.6 applied to $\mathbf{K}$ with $\varepsilon = 1$. Then $d(\mathbf{K}, \mathbf{P}) \leq 2\sqrt{d}$. Clearly, vein($\mathbf{P}$) $\leq M$ (just take the p_i's in the definition of vein($\cdot$) to be vertices of $\mathbf{P}$). Thus, by Lemma 3.5 we obtain vein($\mathbf{K}$) $\leq 2M\sqrt{d}$, which completes the proof. $\qquad\square$

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